

Banking & Financial Services

Institutional-grade cybersecurity and compliance advisory delivered with the precision of a Big Four firm and the agility of a purpose-built boutique.

OUR VALUE PROPOSITION

Big Four Depth

30–40% Lower Cost

Africa-U.S. Corridor

Middle-Market Focus

Navigating complex regulatory requirements while protecting sensitive financial data requires precision and discipline.

Commercial banks, retail institutions, and central banks operate under some of the most stringent regulatory frameworks in the world — and the consequences of non-compliance or a security failure extend far beyond a single institution. United Allied delivers the strategic depth of a Big Four advisory firm at 30–40% lower cost, purpose-built for banking institutions and regulatory bodies operating across global and emerging markets.

01

Global Regulatory Intelligence & Multi-Jurisdictional Compliance

Turning Compliance Complexity Into Competitive Advantage

Banking institutions must navigate an increasingly demanding regulatory landscape — from OCC and FDIC guidelines to international standards like GDPR and Basel III, and the rapidly evolving frameworks governing Africa's banking sector. Regulatory requirements don't stand still, and for commercial and retail banks operating across multiple jurisdictions, a single compliance gap can trigger examinations, sanctions, and reputational damage that erodes institutional trust.

Central banks and regulators face a parallel challenge — ensuring that supervised institutions maintain robust security and compliance postures while managing their own governance obligations in an environment of growing geopolitical and cyber risk.

United Allied delivers precision-mapped compliance strategies aligned with the frameworks that matter most — GDPR, PCI DSS, ISO 27001, and SOC 2 — while proactively monitoring the regulatory changes reshaping Africa's banking landscape, including South Africa's Joint Standard 2 (JS2), Nigeria's CBN Cybersecurity Framework, and cross-border obligations under AfCFTA. Our regulatory intelligence practice ensures your institution stays ahead of compliance change rather than reacting to it.

CORE DELIVERABLES:

- Regulatory gap assessments
- Multi-jurisdictional compliance roadmaps
- GDPR, PCI DSS, ISO 27001 & SOC 2 alignment
- Audit management & preparation
- Compliance training programs
- Policy development & management
- Africa central bank framework alignment
- Ongoing regulatory monitoring

02

Third-Party & Vendor Risk Management

Your Security Is Only as Strong as Your Weakest Partner

Financial institutions are the most targeted sector in global cybercrime and the stakes have never been higher. The average data breach now costs nearly \$4.9 million globally, and nation-state actors, ransomware syndicates, and insider threats grow more sophisticated by the day. For commercial and retail banks handling sensitive client data at scale — account details, transaction records, and personally identifiable information the impact of a breach extends far beyond financial loss, triggering regulatory investigations, litigation, and lasting reputational harm.

Yet for many institutions, the greatest vulnerability doesn't originate internally — it lives inside the third-party ecosystem. Banks depend on an expanding network of core banking platform providers, payment processors, cloud infrastructure vendors, and technology integrators. Any security gap within that vendor chain can expose the institution to the very breaches that internal controls were built to prevent.

United Allied's TPRM practice helps banking institutions identify, assess, and continuously monitor risks across their entire vendor ecosystem. Across African markets, where banking infrastructure increasingly relies on third-party mobile payment rails, cross-border settlement platforms, and regional technology providers, vendor risk management is both a regulatory requirement and a board-level priority.

CORE DELIVERABLES:

- Vendor risk tiering & classification
- Third-party due diligence assessments
- Contractual security requirement frameworks
- Ongoing vendor monitoring programs
- GDPR & PCI DSS-aligned TPRM policy development
- Incident response planning
- Business continuity & disaster recovery integration
- Africa banking vendor ecosystem risk mapping

03

Banking GRC & Emerging Market Growth Advisory

Compliance as a Growth Engine, Not a Barrier

For commercial and retail banks expanding into new markets — and for central banks overseeing institutions operating across borders — governance is not a back-office function. It is the foundation on which institutional credibility, investor confidence, and regulatory authorization are built. Without a purpose-built GRC architecture that scales with operational complexity, growth becomes a liability rather than an asset.

United Allied's GRC advisory practice embeds compliance and security directly into your institutional growth strategy transforming regulatory obligations into trust signals that differentiate your bank with clients, correspondent partners, and regulatory bodies. For institutions expanding across African markets, this is mission-critical. Africa's banking sector is undergoing rapid transformation, with central banks modernizing their cybersecurity supervisory frameworks and raising the bar for licensing, correspondent banking relationships, and international investment.

We help banking institutions build governance and compliance architectures that satisfy local regulatory bodies, international standards, and institutional due diligence requirements simultaneously — positioning compliance not as a cost center but as a competitive differentiator.

CORE DELIVERABLES:

- GRC program architecture & build-out
- Risk management planning
- Security architecture review
- vCISO advisory services
- Audit management
- Security awareness training
- Continuous compliance monitoring dashboards
- Africa market entry compliance strategy
- Central bank supervisory framework alignment
- Investor-ready security posture documentation

OUR VALUE PROPOSITION

GDPR

ISO 27001

PCI DSS

SOC 2

NIST CSF

JS2 (SA)

POPIA

CBN Framework

Securing Trust. Driving Growth.

Contact United Allied to discuss how we can strengthen your institution's security resilience and compliance posture across global and emerging markets.